

## BUSINESS CONTINUITY PLAN DISCLOSURE

POI has developed a Business Continuity Plan (“BCP”) on how it will respond to events that significantly disrupt its business. Since the timing and impact of disasters and disruptions are unpredictable, POI will have to be flexible in responding to actual events as they occur. With that in mind, we are providing you with this information on our business continuity plan. Contacting us – If after a significant business disruption you cannot contact us as you usually do, for information you should call the reception of our affiliate Banque Pictet & Cie SA (Pictet) in Geneva at 011 41 58 323 2323 or go to Pictet’s website at <https://www.group.pictet/>. POI’s Business Continuity Plan – POI plans to quickly recover and resume business operations after a significant business disruption and respond by safeguarding its employees and property, making a financial and operational assessment, protecting the firm’s books and records, and allowing it clients to transact business.

POI’s business continuity plan is designed to permit the firm to resume operations as quickly as possible, given the scope and severity of the significant business disruption. POI’s business continuity plan addresses: data back-up and recovery; all mission critical systems; financial and operational assessments; alternative communications with clients, employees and regulators; alternate physical location of employees; critical supplier, contractor, bank and counterparts’ impact. POI backs up its important records in a geographically separate location. While every emergency situation poses unique problems based on external factors, such as time of day and the severity of the disruption, POI’s objective is to restore its own operations and be able to complete existing transactions and accept new transactions within 24-48 hours. Client orders could be delayed during this period.

Varying disruptions – Significant business disruptions can vary in their scope, such as only our firm, a single building housing our firm, the business district where our firm is located, the city where we are located, or the whole region. Within each of these areas, the severity of the disruption can also vary from minimal to severe. In a disruption to our firm, the building housing our firm or the firm’s business district, POI will transfer its operations to a regional site when needed and expect to recover and resume business within 24-48 hours. If the significant business disruption is so severe that it prevents POI from remaining in business, POI will do everything under its control to cancel unexecuted orders and ensure settlement of DVP trades through its clearing firms. For more information – Should you have questions about POI’s business continuity planning, please contact the Compliance Department at 514-288-8161, by email at [mtl\\_compliance@pictet.com](mailto:mtl_compliance@pictet.com), or by fax at 514 288 5472.

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