

**WINJAMMER FILING**

**INITIAL**

**End Date:11/15/2024**

**Firm Name:PICTET OVERSEAS INC**

**Form:Daily Seg - FOCUS II**

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**Daily Segregation - Cover Page**

|                       |                                   |
|-----------------------|-----------------------------------|
| Name of Company       | <b><u>PICTET OVERSEAS INC</u></b> |
| Contact Name          | <b><u>Marie-Chantal Jean</u></b>  |
| Contact Phone Number  | <b><u>514-350-6270</u></b>        |
| Contact Email Address | <b><u>mjean@pictet.com</u></b>    |

FCM's Customer Segregated Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or **1,500,000**
- b. Minimum percentage of customer segregated funds required:% ; or **0**
- c. Dollar amount range between:and; or **0 0**
- d. Percentage range of customer segregated funds required between:% and%. **0 0**

FCM's Customer Secured Amount Funds Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or **900,000**
- b. Minimum percentage of customer secured funds required:% ; or **0**
- c. Dollar amount range between:and; or **0 0**
- d. Percentage range of customer secured funds required between:% and%. **0 0**

FCM's Cleared Swaps Customer Collateral Residual Interest Target (choose one):

- a. Minimum dollar amount: ; or **0**
- b. Minimum percentage of cleared swaps customer collateral required:% ; or **0**
- c. Dollar amount range between:and; or **0 0**
- d. Percentage range of cleared swaps customer collateral required between:% and%. **0 0**

|   |                                      |
|---|--------------------------------------|
| Current ANC: on   | <b><u>39,361,176 30-SEP-2024</u></b> |
| Broker Dealer Minimum                                     | <b><u>321,755</u></b>                |
| Debit/Deficit - Customers Current Amount Gross Amount     |                                      |
| Domestic Debit/Deficit                                    | <b><u>0</u></b>                      |
| Foreign Debit/Deficit                                     | <b><u>0 0</u></b>                    |
| Debit/Deficit - Non Customers Current Amount Gross Amount |                                      |
| Domestic Debit/Deficit                                    | <b><u>0 0</u></b>                    |
| Foreign Debit/Deficit                                     | <b><u>0 0</u></b>                    |
| Proprietary Profit/Loss                                   |                                      |
| Domestic Profit/Loss                                      | <b><u>0</u></b>                      |
| Foreign Profit/Loss                                       | <b><u>0</u></b>                      |
| Proprietary Open Trade Equity                             |                                      |
| Domestic OTE  | <b><u>0</u></b>                      |
| Foreign OTE   | <b><u>0</u></b>                      |
| SPAN  |                                      |
| Customer SPAN Calculation                                 | <b><u>102,839,416</u></b>            |
| Non-Customer SPAN Calculation                             | <b><u>0</u></b>                      |
| Proprietary Capital Charges                               | <b><u>0</u></b>                      |
| Minimum Dollar Amount Requirement                         | <b><u>1,000,000</u></b> [7465]       |
| Other NFA Dollar Amount Requirement                       | <b><u>0</u></b> [7475]               |

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**Daily Segregation - Secured Amounts**

## Foreign Futures and Foreign Options Secured Amounts

Amount required to be set aside pursuant to law, rule or regulation of a foreign government or a rule of a self-regulatory organization authorized thereunder

|     |   |  |
|-----|---|--|
| 1.  | Net ledger balance - Foreign Futures and Foreign Option Trading - All Customers                           | <u>0</u> [7305]                          |
|     | A. Cash   | <u>8,411,607</u> [7315]                  |
|     | B. Securities (at market)   | <u>11,403,171</u> [7317]                 |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a foreign board of trade                 | <u>249,650</u> [7325]                    |
| 3.  | Exchange traded options   |  |
|     | a. Market value of open option contracts purchased on a foreign board of trade                            | <u>0</u> [7335]                          |
|     | b. Market value of open contracts granted (sold) on a foreign board of trade                              | <u>0</u> [7337]                          |
| 4.  | Net equity (deficit) (add lines 1. 2. and 3.)   | <u>20,064,428</u> [7345]                 |
| 5.  | Account liquidating to a deficit and account with a debit balances - gross amount                         | <u>0</u> [7351]                          |
|     | Less: amount offset by customer owned securities  | <u>0</u> [7352] <u>0</u> [7354]          |
| 6.  | Amount required to be set aside as the secured amount - Net Liquidating Equity Method (add lines 4 and 5) | <u>20,064,428</u> [7355]                 |
| 7.  | Greater of amount required to be set aside pursuant to foreign jurisdiction (above) or line 6.            | <u>20,064,428</u> [7360]                 |
|     | <b>FUNDS DEPOSITED IN SEPARATE REGULATION 30.7 ACCOUNTS</b>   |  |
| 1.  | Cash in banks   |  |
|     | A. Banks located in the United States   | <u>341,336</u> [7500]                    |
|     | B. Other banks qualified under Regulation 30.7  | <u>0</u> [7520] <u>341,336</u> [7530]    |
| 2.  | Securities  |  |
|     | A. In safekeeping with banks located in the United States   | <u>3,504,385</u> [7540]                  |
|     | B. In safekeeping with other banks qualified under Regulation 30.7  | <u>0</u> [7560] <u>3,504,385</u> [7570]  |
| 3.  | Equities with registered futures commission merchants   |  |
|     | A. Cash   | <u>8,169,867</u> [7580]                  |
|     | B. Securities   | <u>5,575,980</u> [7590]                  |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>-567,305</u> [7600]                   |
|     | D. Value of long option contracts   | <u>0</u> [7610]                          |
|     | E. Value of short option contracts  | <u>0</u> [7615] <u>13,178,542</u> [7620] |
| 4.  | Amounts held by clearing organizations of foreign boards of trade   |  |
|     | A. Cash   | <u>0</u> [7640]                          |
|     | B. Securities   | <u>0</u> [7650]                          |
|     | C. Amount due to (from) clearing organization - daily variation   | <u>0</u> [7660]                          |
|     | D. Value of long option contracts   | <u>0</u> [7670]                          |
|     | E. Value of short option contracts  | <u>0</u> [7675] <u>0</u> [7680]          |
| 5.  | Amounts held by members of foreign boards of trade  |  |
|     | A. Cash   | <u>621,101</u> [7700]                    |
|     | B. Securities   | <u>5,827,191</u> [7710]                  |
|     | C. Unrealized gain (loss) on open futures contracts   | <u>782,454</u> [7720]                    |
|     | D. Value of long option contracts   | <u>0</u> [7730]                          |
|     | E. Value of short option contracts  | <u>0</u> [7735] <u>7,230,746</u> [7740]  |
| 6.  | Amounts with other depositories designated by a foreign board of trade                                    | <u>0</u> [7760]                          |
| 7.  | Segregated funds on hand  | <u>0</u> [7765]                          |
| 8.  | Total funds in separate section 30.7 accounts   | <u>24,255,009</u> [7770]                 |
| 9.  | Excess (deficiency) Set Aside for Secured Amount (subtract line 7 Secured Statement Page 1 from Line 8)   | <u>4,190,581</u> [7380]                  |
| 10. | Management Target Amount for Excess funds in separate section 30.7 accounts                               | <u>900,000</u> [7780]                    |
| 11. | Excess (deficiency) funds in separate 30.7 accounts over (under) Management Target                        | <u>3,290,581</u> [7785]                  |

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**Firm Name:PICTET OVERSEAS INC**

**Form:Daily Seg - FOCUS II**

**Daily Segregation - Segregation Statement**

SEGREGATION REQUIREMENTS(Section 4d(2) of the CEAct)

|     |   |  |
|-----|---|--|
| 1.  | Net ledger balance  |  |
|     | A. Cash   | <b><u>1,311,255</u></b> [7010]                   |
|     | B. Securities (at market)   | <b><u>141,017,795</u></b> [7020]                 |
| 2.  | Net unrealized profit (loss) in open futures contracts traded on a contract market          | <b><u>-1,357,953</u></b> [7030]                  |
| 3.  | Exchange traded options   |  |
|     | A. Add market value of open option contracts purchased on a contract market                 | <b><u>6,052,560</u></b> [7032]                   |
|     | B. Deduct market value of open option contracts granted (sold) on a contract market         | <b><u>-26,232,822</u></b> [7033]                 |
| 4.  | Net equity (deficit) (add lines 1, 2 and 3)   | <b><u>120,790,835</u></b> [7040]                 |
| 5.  | Accounts liquidating to a deficit and accounts with debit balances - gross amount           | <b><u>24,332,385</u></b> [7045]                  |
|     | Less: amount offset by customer securities  | <b><u>-24,332,385</u></b> [7047] <b>0</b> [7050] |
| 6.  | Amount required to be segregated (add lines 4 and 5)  | <b><u>120,790,835</u></b> [7060]                 |
|     | FUNDS IN SEGREGATED ACCOUNTS  |  |
| 7.  | Deposited in segregated funds bank accounts   |  |
|     | A. Cash   | <b><u>538,979</u></b> [7070]                     |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>5,900,078</u></b> [7080]                   |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7090]                           |
| 8.  | Margins on deposit with derivatives clearing organizations of contract markets              |  |
|     | A. Cash   | <b><u>0</u></b> [7100]                           |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7110]                           |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>0</u></b> [7120]                           |
| 9.  | Net settlement from (to) derivatives clearing organizations of contract markets             | <b><u>0</u></b> [7130]                           |
| 10. | Exchange traded options   |  |
|     | A. Value of open long option contracts  | <b><u>0</u></b> [7132]                           |
|     | B. Value of open short option contracts   | <b><u>0</u></b> [7133]                           |
| 11. | Net equities with other FCMs  |  |
|     | A. Net liquidating equity   | <b><u>-20,050,226</u></b> [7140]                 |
|     | B. Securities representing investments of customers' funds (at market)                      | <b><u>0</u></b> [7160]                           |
|     | C. Securities held for particular customers or option customers in lieu of cash (at market) | <b><u>141,017,795</u></b> [7170]                 |
| 12. | Segregated funds on hand  | <b><u>0</u></b> [7150]                           |
| 13. | Total amount in segregation (add lines 7 through 12)  | <b><u>127,406,626</u></b> [7180]                 |
| 14. | Excess (deficiency) funds in segregation (subtract line 6 from line 13)                     | <b><u>6,615,791</u></b> [7190]                   |
| 15. | Management Target Amount for Excess funds in segregation                                    | <b><u>1,500,000</u></b> [7194]                   |
| 16. | Excess (deficiency) funds in segregation over (under) Management Target Amount              | <b><u>5,115,791</u></b> [7198]                   |
|     | Excess  |  |

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**Daily Segregation - Supplemental**

- Total gross margin deficiencies - Segregated Funds Origin **0** [9100]
- Total gross margin deficiencies - Secured Funds Origin **0** [9101]
- Total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9102]
- Total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9103]
- Total number of accounts contributing to total gross margin deficiencies - Segregated Funds Origin **0** [9104]
- Total number of accounts contributing to total gross margin deficiencies - Secured Funds Origin **0** [9105]
- Total number of accounts contributing to the total gross margin deficiencies - Cleared Swaps Customer Collateral Funds Origin **0** [9106]
- Total number of accounts contributing to the total gross margin deficiencies - Noncustomer and Proprietary Accounts Origin **0** [9107]
- Upload a copy of the firm's daily margin report the FCM uses to issue margin calls which corresponds with the reporting date.

Margin calls - Client  
FCM\_20241115.PDF

**Virtual Currency**

Identify the virtual currency products traded by customers and noncustomers:

- Bitcoin futures **No** [VC05]
- Bitcoin options **No** [VC06]
- Ether futures **No** [VC07]
- Micro bitcoin futures **No** [VC08]
- Other: **No** [VC09] **0** [VC10]
- Identify the number of customers with open virtual currency futures/options? **0** [VC11]
- Identify the number of noncustomers with open virtual currency futures/options? **0** [VC12]
- Total open long customer/noncustomer virtual currency derivatives positions: **0** [VC03]
- Total open short customer/noncustomer virtual currency derivatives positions: **0** [VC04]

Identify the virtual currency products traded for proprietary purposes:

- Bitcoin futures **No** [VC13]
- Bitcoin options **No** [VC14]
- Ether futures **No** [VC15]
- Micro bitcoin futures **No** [VC16]
- Other: **No** [VC17] **0** [VC18]
- Total open long proprietary virtual currency derivatives positions: **0** [VC19]
- Total open short proprietary virtual currency derivatives positions: **0** [VC20]

**Micro**

Identify the micro contracts traded by customers and noncustomers:

- Equity **Yes** [MC01]
- Interest Rate **No** [MC02]
- Metals **No** [MC03]
- Energy **No** [MC04]
- FX Futures **Yes** [MC05]
- Other **No** [MC06] **0** [MC07]
- Identify the number of customers with open micro contracts? **1** [MC08]
- Identify the number of noncustomers with open micro contracts? **0** [MC09]
- Total open customer/noncustomer long micro positions: **110** [MC10]
- Total open customer/noncustomer short micro positions: **197** [MC11]

Identify the micro contracts traded for proprietary purposes:

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Equity

Interest Rate

Metals

Energy

FX Futures

Other

Total open long proprietary micro positions:

Total open short proprietary micro positions:

**No** [MC12]

**No** [MC13]

**No** [MC14]

**No** [MC15]

**No** [MC16]

**No** [MC17] **0** [MC18]

**0** [MC19]

**0** [MC20]

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**Daily Segregation - Swaps Statement**

STATEMENT OF CLEARED SWAPS CUSTOMER SEGREGATION REQUIREMENTS  
AND  
FUNDS IN CLEARED SWAPS CUSTOMER ACCOUNTS UNDER 4D(F) OF THE CEA

| Cleared Swaps Customer Requirements |  |
|-------------------------------------|--|
| 1.                                  | Net ledger balance   |
|                                     | A. Cash <u>0</u> [8500]  |
|                                     | B. Securities (at market) <u>0</u> [8510]  |
| 2.                                  | Net unrealized profit (loss) in open cleared swaps <u>0</u> [8520]   |
| 3.                                  | Cleared swaps options  |
|                                     | A. Market value of open cleared swaps option contracts purchased <u>0</u> [8530]                                 |
|                                     | B. Market value of open cleared swaps option contracts granted (sold) <u>0</u> [8540]                            |
| 4.                                  | Net Equity (deficit) (add lines 1, 2, and 3) <u>0</u> [8550]   |
| 5.                                  | Accounts liquidating to a deficit and accounts with debit balances - gross amount <u>0</u> [8560]                |
|                                     | Less: amount offset by customer owned securities <u>0</u> [8570] <u>0</u> [8580]                                 |
| 6.                                  | Amount required to be segregated for cleared swaps customers (add lines 4 and 5) <u>0</u> [8590]                 |
|                                     | Funds in Cleared Swaps Customer Segregated Accounts  |
| 7.                                  | Deposited in cleared swaps customer segregated accounts at banks   |
|                                     | A. Cash <u>0</u> [8600]  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8610]             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8620]            |
| 8.                                  | Margins on deposit with derivatives clearing organizations in cleared swaps customer segregated accounts         |
|                                     | A. Cash <u>0</u> [8630]  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8640]             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8650]            |
| 9.                                  | Net settlement from (to) derivatives clearing organizations <u>0</u> [8660]                                      |
| 10.                                 | Cleared swaps options  |
|                                     | A. Value of open cleared swaps long option contracts <u>0</u> [8670]   |
|                                     | B. Value of open cleared swaps short option contracts <u>0</u> [8680]  |
| 11.                                 | Net equities with other FCMs   |
|                                     | A. Net liquidating equity <u>0</u> [8690]  |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u> [8700]             |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8710]            |
| 12.                                 | Cleared swaps customer funds on hand   |
|                                     | A. Cash <u>0</u>   |
|                                     | B. Securities representing investments of cleared swaps customers' funds (at market) <u>0</u>                    |
|                                     | C. Securities held for particular cleared swaps customers in lieu of cash (at market) <u>0</u> [8715]            |
| 13.                                 | Total amount in cleared swaps customer segregation (add lines 7 through 12) <u>0</u> [8720]                      |
| 14.                                 | Excess (deficiency) funds in cleared swaps customer segregation (subtract line 6 from line 13) <u>0</u> [8730]   |
| 15.                                 | Management Target Amount for Excess funds in cleared swaps segregated accounts <u>0</u> [8760]                   |
| 16.                                 | Excess (deficiency) funds in cleared swaps customer segregation accounts over (under) Management <u>0</u> [8770] |